

UNITED STATES DISTRICT COURT  
WESTERN DISTRICT OF WASHINGTON  
AT TACOMA

VICKIE L. ECKERLE,

Plaintiff,

v.

JO ANNE B. BARNHART, Commissioner of  
Social Security Administration,

Defendant.

CASE NO. **C05-5682FDB**

REPORT AND  
RECOMMENDATION

Noted for September 15, 2006

This matter has been referred to Magistrate Judge J. Kelley Arnold pursuant to 28 U.S.C. § 636(b)(1)(B) and Local Magistrates Rule MJR 4(a)(4) and as authorized by Mathews, secretary of H.E.W. v. Weber, 423 U.S. 261 (1976). This matter has been fully briefed, and after reviewing the record, the undersigned recommends that the Court affirm the administration's final decision.

INTRODUCTION

Plaintiff filed her application for disability insurance benefits on February 14, 2000, alleging an onset date of disability of April 16, 1999. She filed the appropriate appeals of subsequent denials of her claim, and a hearing was held before an administrative law judge (hereinafter ALJ) in Seattle, Washington on August 14, 2002. (Tr. 28). ALJ Arthur Joyner denied claimant's claim for Title II and XVI disability benefits in a decision dated October 3, 2002. (Tr. 15-27). Claimant requested review by the Appeals Council, which denied plaintiff's request for review on August 29, 2003. (Tr. 5-6).

Plaintiff filed an appeal in the U.S. District Court for the Western District of Washington (CV

1 03-5504-FDB). On 1/29/04, the parties agreed that the matter should be remanded for additional  
 2 administrative proceedings (Tr. 437-39). Judge Burgess issued an order remanding the matter pursuant to  
 3 sentence four of 42 U.S.C §405g. (Tr. 436).

4 On January 9, 2003, plaintiff filed a second application for benefits (Tr. 556-558). This application  
 5 went through the usual procedural hoops, and eventually was consolidated for hearing with the matter  
 6 remanded from federal court. Hearing was held before ALJ Thomas Tielens on 10/5/04. On January 3,  
 7 2005, ALJ Tielens issued an Unfavorable Decision finding plaintiff not disabled. (Tr. 400-412). Plaintiff  
 8 again appealed to the Appeals Council. On August 21, 2005, the Appeals Council issued a decision  
 9 denying plaintiff's request for review, making ALJ Tielens' decision the final decision of the Commissioner.  
 10 (Tr. 375).

11 On October 18, 2005, Plaintiff filed a new complaint with this court challenging the denial of his  
 12 applications for benefits. Specifically, plaintiff contends: (1) the ALJ failed to properly develop the medical  
 13 record; (2) the ALJ failed to find that sarcoidosis was a severe condition; (3) the ALJ failed to provide  
 14 adequate reasons for rejecting Plaintiff's subjective symptom testimony, the lay witness statements, and the  
 15 medical opinion from Dr. Barker; and (4) the ALJ improperly relied on incomplete testimony from the  
 16 vocational expert. Defendant counter-argues that the ALJ applied proper legal standards and the ALJ's  
 17 findings and conclusions are properly supported by substantial evidence in the record.

## 18 DISCUSSION

19 This Court must uphold the determination that plaintiff is not disabled if the ALJ applied the proper  
 20 legal standard and there is substantial evidence in the record as a whole to support the decision. Hoffman  
 21 v. Heckler, 785 F.2d 1423, 1425 (9th Cir. 1986). Substantial evidence is such relevant evidence as a  
 22 reasonable mind might accept as adequate to support a conclusion. Richardson v. Perales, 402 U.S. 389,  
 23 401 (1971); Fife v. Heckler, 767 F.2d 1427, 1429 (9th Cir. 1985). It is more than a scintilla but less than a  
 24 preponderance. Sorenson v. Weinberger, 514 F.2d 1112, 1119 n.10 (9th Cir. 1975); Carr v. Sullivan, 772  
 25 F. Supp. 522, 525 (E.D. Wash. 1991). If the evidence admits of more than one rational interpretation, the  
 26 Court must uphold the Secretary's decision. Allen v. Heckler, 749 F.2d 577, 579 (9th Cir. 1984).

### 27 ***A. THE ALJ PROPERLY ASSESSED THE MEDICAL OPINION EVIDENCE***

28 The ALJ is entitled to resolve conflicts in the medical evidence. Sprague v. Bowen, 812 F.2d 1226,

1 1230 (9<sup>th</sup> Cir. 1987). He may not, however, substitute his own opinion for that of qualified medical  
2 experts. Walden v. Schweiker, 672 F.2d 835, 839 (11<sup>th</sup> Cir. 1982). If a treating doctor's opinion is  
3 contradicted by another doctor, the Commissioner may not reject this opinion without providing "specific  
4 and legitimate reasons" supported by substantial evidence in the record for doing so. Murray v. Heckler,  
5 722 F.2d 499, 502 (9<sup>th</sup> Cir. 1983). "The opinion of a nonexamining physician cannot by itself constitute  
6 substantial evidence that justifies the rejection of the opinion of either an examining physician or a treating  
7 physician." Lester v. Chater, 81 F.3d 821, 831 (9<sup>th</sup> Cir. 1996). In Magallanes v. Bowen, 881 F.2d 747,  
8 751-55 (9<sup>th</sup> Cir. 1989), the Ninth Circuit upheld the ALJ's rejection of a treating physician's opinion  
9 because the ALJ relied not only on a nonexamining physician's testimony, but in addition, the ALJ relied  
10 on laboratory test results, contrary reports from examining physicians and on testimony from the claimant  
11 that conflicted with the treating physician's opinion.

12 Here, the ALJ properly reviewed and analyzed the medical evidence. On stipulated remand from  
13 the court, the parties had agreed that the administration needed to further review the medical evidence and  
14 ALJ Tielens' decision reflects this directive. ALJ Tielens' specifically noted medical records from 1991  
15 through September 2004 in his written opinion. In detail the ALJ summarized many of the physicians'  
16 opinions and discussed the severity of Ms. Eckerle's impairments in light of those opinions. The ALJ  
17 concluded that Ms. Eckerle suffered from the following "severe" impairments: degenerative disc disease,  
18 degenerative joint disease of the hip and knee, carpal tunnel syndrome with release surgery, asthma,  
19 obesity, depression, and a borderline personality disorder. The ALJ specifically evaluated whether or not  
20 Ms. Eckerle's impairments and limitations met the requirements of sections 1.02, 1.04, 3.03, 12.04, and  
21 12.08 of the Medical Listings, which would presumptively entitle her to benefits.

22 Plaintiff contends the ALJ failed to properly consider her sarcoidosis a "severe" impairment,  
23 misquoted Dr. Barker regarding the impact of her sarcoidosis, and "failed to address Dr. Barker's opinion  
24 at all" (Plaintiff's Opening Brief at 21). Moreover, Plaintiff argues the court should credit Dr. Barker's as  
25 true. *Id.* at 22.

26 A review of the ALJ's decision does not support Plaintiff's position. The ALJ adequately reviewed  
27 Dr. Barker's medical records, evidenced by the notation the ALJ made of Dr. Barker's opinion on  
28 September 13, 2004, that the claimant's sarcoidosis was not limiting. Tr. 405. Moreover, the ALJ relied

1 also on Dr. Steinberg, who indicated that Ms. Eckerle's sarcoidosis was not active. The ALJ wrote the  
2 following:

3 The undersigned has determined, based on a review of all the evidence, including testimony  
4 at the hearing, that the claimant's sarcoidosis is not severe within the ambit of the  
5 Regulations. The claimant was diagnosed with sarcoidosis in 1994 during sinus surgery.  
6 Since 1994 she has had one episode of nosebleed, stopped by pressure, and has never been  
7 on steroid therapy for this condition (Exhibit 21F: 19). In November 2002, a lumbar MRI  
8 showed numerous lytic lesions, which oncologist Lewis Steinberg determined were due to  
9 her asymptomatic sarcoidosis, and were not evidence of metastatic cancer (Exhibits B-4F-  
B-6F). Alan F. Barker, M.D., opined on September 13, 2004, that the claimant's  
sarcoidosis was not limiting (Exhibit 21F:22). With nothing more to corroborate the  
frequency and intensity of the claimant's alleged symptoms, the undersigned must conclude  
that her sarcoidosis does not significantly limit her physical or mental ability to do basic  
work activities and is therefore not severe.

10 Tr. 405. The ALJ reliance on Dr. Steinberg and Dr. Barker, as noted above, provides substantial evidence  
11 to support his findings regarding Ms. Eckerle's sarcoidosis, and the court finds the ALJ properly reviewed  
12 the medical evidence.

13 ***B. THE ALJ PROPERLY EVALUATED THE LAY WITNESS EVIDENCE***

14 Plaintiff argues the ALJ improperly rejected the statements offered by Christina Barger, Plaintiff's  
15 daughter, and Ms. Ford, Plaintiff's former employer. Plaintiff's Opening Brief at 21-22.

16 The ALJ has a special duty to fully and fairly develop the record and to assure that the claimant's  
17 interests are considered. Brown v. Heckler, 713 F.2d 441, 443 (9th Cir. 1983). The ALJ can reject the  
18 testimony of lay witnesses only if s/he gives reasons germane to each witness whose testimony s/he rejects.  
19 Smolen v. Chater, 80 F.3d 1273, 1288 (9th Cir. 1996) Dodrill v. Shalala, 12 F.3d 915, 919 (9th Cir.  
20 1993).

21 On review, the court finds the ALJ reasonably considered the statements from the lay witnesses  
22 submitted in this matter. In the context of evaluating the degree of limitations and Plaintiff's residual  
23 functional capacity, the ALJ wrote:

24 Although it is clear that the claimant does have underlying medical conditions which could  
25 reasonably result in the pain and fatigue she alleges if she attempted to exceed her residual  
26 functional capacity as set forth below, she fails to convince the undersigned that she is so  
27 impaired that she cannot perform any kind of gainful work. Indeed, the claimant has  
28 described daily activities that are not limited to the extent one would expect, given the  
complaints of disabling symptoms and limitations. According to written statements  
completed by the claimant (Exhibit 7E and 11 E), her husband (Exhibits 8E and 13E), her  
daughter (Exhibit 12E) and her friend (Exhibit 9E), she prepares meals for her family,  
sweeps , dusts, vacuums, does laundry and dishes, grocery shops, and drives a car  
occasionally. She also likes to read, draw, paint, watch TV (including movies) for several  
hours per day, play games with her family, and to do puzzles, crafts, and sewing. Finally,

1 she occasionally does woodworking and gardening, and can walk one block to her friend's  
 2 house. The undersigned has also considered letters submitted on the claimant's behalf by  
 3 various other witnesses. The claimant's former counselor wrote on November 30, 1991,  
 4 that during four years of counseling she had made very significant progress, serving as an  
 5 "inspiration" for other similarly-situated women (Exhibit 17E). The claimant's former  
 6 supervisor at Advantage Rent-a-Car, wrote on January 24, 2002, describing the claimant's  
 7 decline from formerly being able to do heavy lifting, and alleging that claimant "takes  
 8 extreme time when doing her housework" (Exhibit 20E). Finally, the claimant's mother  
 9 wrote on January 18, 2002 that the claimant had helped her out occasionally after she  
 10 experienced a heart attack, but that the claimant does house work slowly. She also recalled  
 11 that the claimant had cooked Christmas dinner for the family and was in pain after standing  
 12 a few hours (Exhibit 21E). However, nothing in these letters suggest that the claimant is  
 13 totally incapable of performing simple sedentary tasks. Thus considering the entire case  
 14 record, the undersigned cannot find the allegations of the claimant and her witnesses  
 15 concerning her inability to work to be sufficiently credible to serve as additive evidence to  
 16 support a finding of disability.

17 Tr. 407-408.

18 It is clear that the ALJ took the lay witness statements into account when he considered the  
 19 ultimate question of Ms. Eckerle's disability. It is not clear that he rejected the statements in total, as  
 20 suggested by Plaintiff, but rather, the ALJ appropriately weighed the statements in conjunction with his  
 21 review of the medical evidence and the record as a whole. As discussed above, the ALJ properly  
 22 considered the medical evidence and to the extent the lay witness statements are in conflict with that  
 23 evaluation, the ALJ properly discredited the evidence.

24 ***C. SUBSTANTIAL EVIDENCE SUPPORTS THE ALJ'S FINDING THAT MS. ECKERLE IS ABLE TO***  
 25 ***PERFORM OTHER WORK IN THE NATIONAL ECONOMY***

26 Plaintiff argues the ALJ erred when he relied on the Vocational Expert's testimony. Plaintiff argues  
 27 the Vocational Expert's testimony could not be relied upon because the ALJ's hypothetical posed to the  
 28 expert failed to include all of plaintiff's limitations. Here the ALJ relied on the Vocational Expert's  
 testimony to conclude: plaintiff retained the ability to work as a cafeteria cashier or a surveillance system  
 monitor.

At step-five the burden of proof shifts to the Commissioner to produce evidence of other jobs  
 existing in significant numbers in the national economy that Plaintiff could perform in light of his age,  
 education, work experience, and residual functional capacity. See Tackett v. Apfel, 180 F.3d 1094, 1099  
 (9<sup>th</sup> Cir. 1999); Roberts v. Shalala, 66 F.3d 179, 184 (9<sup>th</sup> Cir. 1995). In Tackett, the court noted "there  
 are two ways for the Commissioner to meet the burden of showing that there is other work in 'significant  
 numbers' in the national economy that claimant can perform: (a) by the testimony of a vocational expert, or

1 (b) by reference to the Medical-Vocational Guidelines at 20 C.F.R. Pt. 404, subpt. P, app. 2.” Id.

2 Plaintiff’s allegation that the ALJ erred when he relied on the Vocational Expert’s testimony is  
3 without merit. Plaintiff’s argument is premised on the allegation that the ALJ failed to properly evaluate  
4 the medical evidence. As explained above, the ALJ did not err in his analysis of the medical evidence. The  
5 ALJ is not obligated to accept all of a claimant’s proposed limitations, as long as the ALJ’s findings are  
6 supported by substantial evidence. See Osenbrock v. Apfel, 240 F.3d 1157, 1163-65 (9<sup>th</sup> Cir. 2001).  
7 Accordingly, the ALJ properly relied on the Vocational Expert’s testimony.

8 CONCLUSION

9 Based on the foregoing discussion, the Court should affirm the Administration’s final decision  
10 denying plaintiff’s application for social security disability benefits. Pursuant to 28 U.S.C. § 636(b)(1) and  
11 Rule 72(b) of the Federal Rules of Civil Procedure, the parties shall have ten (10) days from service of this  
12 Report to file written objections. *See also* Fed.R.Civ.P. 6. Failure to file objections will result in a waiver  
13 of those objections for purposes of appeal. Thomas v. Arn, 474 U.S. 140 (1985). Accommodating the  
14 time limit imposed by Rule 72(b), the clerk is directed to set the matter for consideration on **September**  
15 **15, 2006**, as noted in the caption.

16 DATED this 25th day of May, 2006.

17 /s/ J. Kelley Arnold  
18 J. Kelley Arnold  
19 U.S. Magistrate Judge  
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